

# United States Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/688,447	10/17/2003	Cory O. Nykoluk	26/1145US(0.1)	1563
75	90 09/03/2004		EXAM	INER
Clyde L. Smith	1 AFERKAMP, L.C.		MAI, T	'RI M
Suite 1400	, 2.0.		ART UNIT	PAPER NUMBER
7733 Forsyth Bo			3727	
St. Louis, MO	63105		DATE MAILED: 09/03/2004	1

Please find below and/or attached an Office communication concerning this application or proceeding.

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TECHNOLOGY CENTER R3700

		10	0/688,447		NYKOLUK ET AL	
Of	fice Action Summary	E	xaminer		Art Unit	
/			ri M. Mai		3727	
Period for Rep	<del>-</del>					ddress
THE MAILII  - Extensions of after SIX (6) N  - If the period for the to repeat to repe	NED STATUTORY PERIOD NOT NECESSARY IN THIS COMMUNITY IN THE PROVINCE OF THIS COMMUNITY IN THE PROVINCE OF THE	NICATION.  ns of 37 CFR 1.136(a)  nmunication.  (30) days, a reply with  statutory period will ap	). In no event, hin the statutor pply and will ex use the applica	however, may a reply be tir y minimum of thirty (30) day xpire SIX (6) MONTHS from tion to become ABANDONE	nely filed s will be considered time the mailing date of this D (35 U.S.C. § 133).	ely. communication.
Status						
1) Resp	onsive to communication(s) fi	led on				
2a)☐ This	action is FINAL.	2b)⊠ This act				
3)☐ Since	this application is in conditio	n for allowance	except fo	r formal matters, pr	osecution as to th	e merits is
close	d in accordance with the prac	tice under Ex p	oarte Quay	de, 1935 C.D. 11, 4	53 O.G. 213.	
Disposition of	Claims					
4)⊠ Clain	n(s) <u>1-29</u> is/are pending in the	application.				
	f the above claim(s) is		from cons	ideration.		
	n(s) is/are allowed.					
	n(s) <u>1-29</u> is/are rejected.					
•	n(s) is/are objected to.					
8)☐ Clain	n(s) are subject to rest	riction and/or el	lection req	juirement.		
Application Page	apers					
	pecification is objected to by	the Examiner				
9) The s	rawing(s) filed on is/ar	re: a) 🗌 accept	ted or b)	objected to by the	Examiner.	
Appli	cant may not request that any ob	iection to the dra	awing(s) be	held in abeyance. Se	ee 37 CFR 1.85(a).	
Renta	acement drawing sheet(s) includi	ing the correction	n is required	d if the drawing(s) is o	bjected to. See 37	CFR 1.121(d).
11) ☐ The C	eath or declaration is objected	I to by the Exan	niner. Not	e the attached Offic	e Action or form l	PTO-152.
	35 U.S.C. § 119					
1 -	owledgment is made of a clai	m for foreian pr	riority unde	er 35 U.S.C. § 119(	a)-(d) or (f).	
a) ☐ All			,			
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	Certified copies of the priori				tion No	
3.	Copies of the certified copie	es of the priority	y documer	nts have been recei	ved in this Nation	al Stage
_	application from the Interna					•
* See th	ne attached detailed Office ac				red.	
Attachment(s)	eferences Cited (PTO-892)			4) Interview Summa	ry (PTO-413)	
2) Notice of D	raftsperson's Patent Drawing Review	v (PTO-948)	•	Paper No(s)/Mail	Date	PTO_152\
3) M Information	Disclosure Statement(s) (PTO-1449	or PTO/SB/08)		5) Notice of Informal	ratent Application (F	10-132)
Paper No.	,'Mail Date <u>12/29/03</u> .			-,		

Application No.

Applicant(s)

Art Unit: 3727

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#### DETAILED ACTION

#### **Drawings**

1. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the release mechanism and the locking mechanism in claim 10 must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

## Double Patenting

2. Claims 1-29 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-14 of U.S. Patent No. 6,651,791. Although the

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conflicting claims are not identical, they are not patentably distinct from each other because It would have been obvious to one of ordinary skill in the art to provide the claims in the present application as taught by claims 1-14 of U.S. Patent No. 6,651,791.

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#### Claim Rejections - 35 USC § 112

3. Claims 10 and 21 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

It is unclear what is release mechanism and the locking mechanism in claim 10.

Regarding claim 21, "the arm portion ... abut the pivot axis" is confusing. "axis" refers to an imaginary line only.

#### Claim Rejections - 35 USC § 102/103

4. Claims 1-29 are rejected under 35 U.S.C. 102(b) as being anticipated by Williams et al (4538709). Williams teaches a towing member having an arm portion, a towing handle, a pivot mechanism 50a having a center bore passing through as claimed.

Regarding claim 10, note the mechanisms 52 and going through the pivot mechanism.

Regarding claim 15, the ends of portions 50 and 50b are flat as shown in Fig. 10.

Regarding claim 26, the pivot pin 50a is entirely inside the arm portion and the towing handle in the position in Fig. 10.

5. Claims 1-4, 6, 7, 11-14, 17-24, and 26-27 are rejected under 35 U.S.C. 102(b) as being anticipated by Liang (5464080). Liang teaches a handle with an arm portion, a towing hande and a pivot mechanism in Fig. 2. Liang teaches a handle with an arm portion, a towing hande and a pivot mechanism in Fig. 2.

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- 6. Claims 1-12, 14-16, 18-23, and 26-29 are rejected under 35 U.S.C. 102(a) as being anticipated by Chen (6434790). Chen teaches a handle with an arm portion, a towing hande and a pivot mechanism in Fig. 3. Bloom teaches a handle with an arm portion, a towing hande and a pivot mechanism in Fig. 2.
- 7. Claims 13, 17, and 24-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chen in view of Dinnendahl (3496795). Chen meets all claimed limitations except for the pin having a bore. Dinnendahl teaches that it is known in the art provide a hinge pin with a bore. It would have been obvious for one of ordinary skill in the art to provide a pin with a bore to save material.
- 8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tri M. Mai whose telephone number is (703)308-1038. The examiner can normally be reached on 7:30am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lee W Young can be reached on (703)308-2572. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Tri M. Mai

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		E	3,401,951	09/17/68	Bloom							
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	R	5,002,304	03/26/91	Carriga	an, Jr.				
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26/1145US (0.1) INFORMATION DISCLOSURE CITATION UE 2 9 2005 We several sheets if necessary) Nykoluk, et al. GROUP FILING 10/17/03 TBA **U.S. PATENT DOCUMENTS** 'EXAMINER FILING DATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS INITIAL IF APPROPRIATE 5,429,317 07/04/95 Yamaguchi 5,431,428 07/111/95 ВВ Marchwiak, et al. 5,464,080 11/07/95 cc Liang 5,464,081 loo 11/07/95 Zwanzig EE 5,469,945 11/28/95 **Jserng** FF 5,491,872 02/20/96 Tserng GG 5,497,865 03/12/96 Yun-Pi нн 5,547,053 08/20/96 Liang 5,564,538 10/15/96 Sadow 111 04/01/97 5,615,757 Chen ΚK 5,630,521 05/20/97 Waddell, et al. **FOREIGN PATENT DOCUMENTS** TRANSLATION DATE DOCUMENT NUMBER COUNTRY SUBCLASS CLASS YES OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) EXAMINER DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Application/Control No.

10/688,447

Examiner

Tri M. Mai

Applicant(s)/Patent Under
Reexamination
NYKOLUK ET AL.

Art Unit
Page 1 of 1

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	Α	US-6,651,791	11-2003	Nykoluk et al.	190/18A
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#### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

# 12) UK Patent Application (19) GB (11) 2 337 986 (13) A

(43) Date of A Publication 08.12.1999

- (21) Application No 9921900.8
- (22) Date of Filing 15.10.1996

Date Lodged 17.09.1999

- (30) Priority Data (31) 9603965
- (32) 24.02.1998
  - 6.02.1998 (33) GB
- (62) Divided from Application No 9621608.4 under Section 15(4) of the Patents Act 1977
- (71) Applicant(s)

Moveasy International Limited (Incorporated in the British Virgin Islands) PO Box 71, Craignnuir Chambers, Roadtown, Tortola, British Virgin Islands

(72) Inventor(s)
Frank Lowenstein

(51) INT CL6

A45C 13/26 5/14

- (52) UK CL (Edition Q ) B&P PH2 PW A4G G5F1 G5T1
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(58) Field of Search

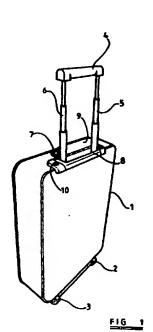
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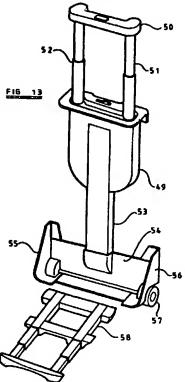
(74) Agent and/or Address for Service
A R Davies & Co
27 Imperial Square, CHELTENHAM, Gloucestershire,

GL50 1RQ, United Kingdom

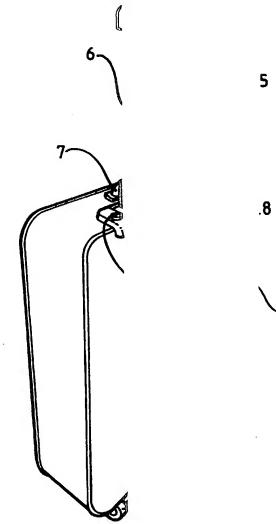
(54) Abstract Title Wheeled luggage

(57) A luggage trolley comprises an item of luggage (1) with wheels (2,3) at the lower end which permit it to be towed when tilted by a telescopable handle (4) at the upper end. A generally rigid housing (8) is secured to the luggage for containing or including the handle and at least one telescopable member (5,6) which is thereby substantially protected from mechanical damage by the housing. Alternatively, particularly for non-rigid luggage, the handle (50) may be borne by a housing (49) connected by a support member (53) to a strut (54)



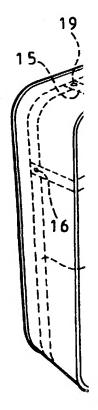


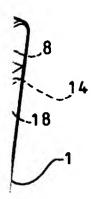
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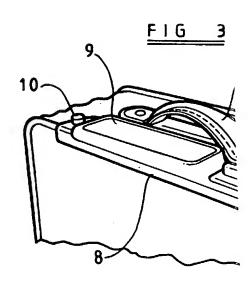


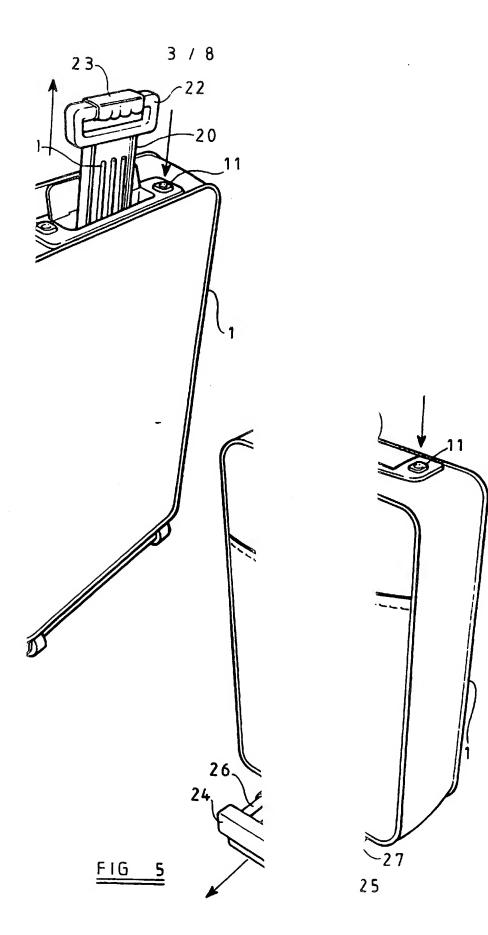
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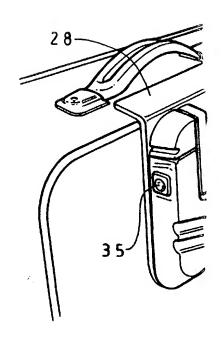
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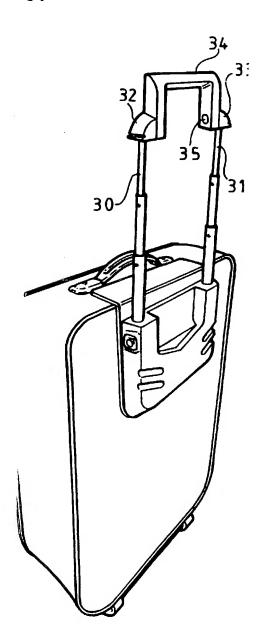
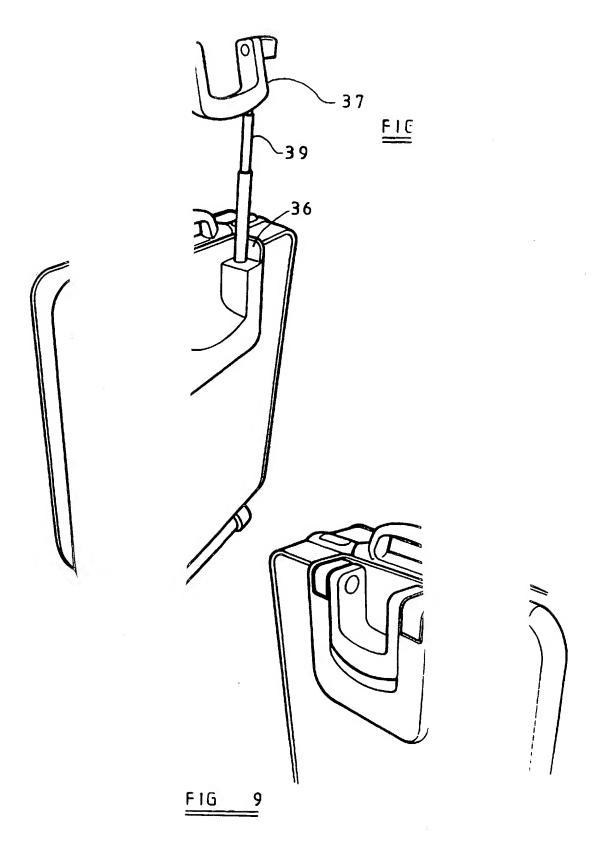


FIG 7



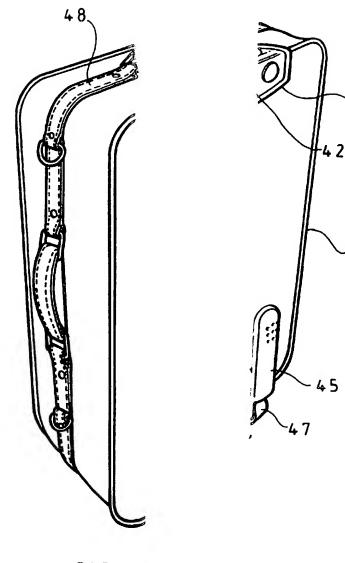
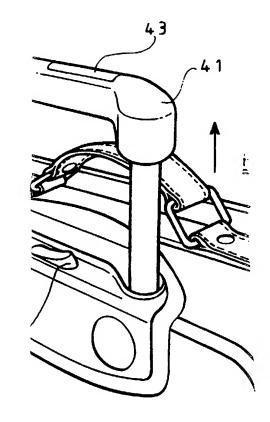
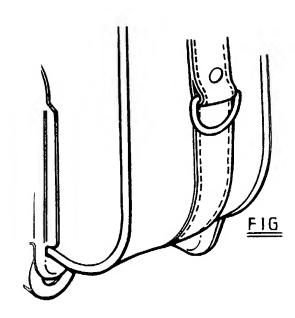
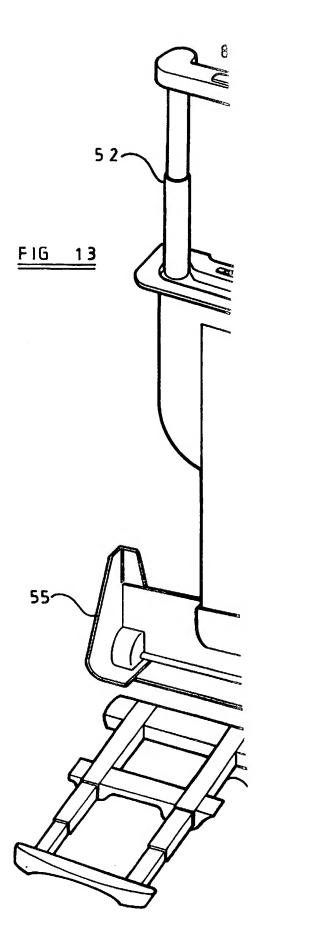


FIG 1





l



#### **IMPROVEM**

This invention

luggage, such

luggage, and a

aid of a collap.

# NG TO A COLLAPSIBLE TROLL

luggage trolleys of the type which is corporates a conventional handle for him the luggage may alternatively be to

arrangement.

Collapsible lug

typically made

prevent or inhib

soft-sided variet

other end of whi

stored inside or c

means of a convi

compartment of a

tubular frame is i

handle and the wi

externally. If the

handle from its sto

easily tow the lugg

erial designed to withstand shock an ents of the item of luggage. If the luminormally attached to a rigid tubular may be telescoped outwards for use one luggage so that it can be carried by ored away, for example, in the baggage certain known kinds of hard-sided luggage so the luggage so the luggage so the luggage so appear and lower ands of the luggage are to be towed it is a simple matter to remailescope it outwardly so as to permit the

and by use of the wheels.

vantage of such known kinds of col

of the handle to be telescoped outwa

they all rely upon the ed inwardly for

: light weight is essential the telescore: are usually made of aluminium or a he luggage is of the soft-side type and page, they are prone to damage such the light into its usable position, or if the cond, difficulty arises in restoring the ha

naterial. Especially
s are on the outside of
difficult to telescope
hilst it has been so
ion.

rith hard-sided luggage this problem is ing or unloading items from the inside members.

d because carelessness esult in damage to the

ther disadvantage of such known types of the members are somewhat unsightly and wise be used for storing items inside the the luggage takes in a storage compartment oplane if the tubular members are on the

e trolleys is that the
mal space which may
scing the amount of total
ggage compartment of

an object of the present invention to obviate advantages.

e foregoing

According to
luggage, whe
permit the lug
one telescopa
wheel means,
housing adapte
the at least one
position inside
member is subs

ne side and at one end of the luggage
tilted, and a collapsible handle sec
ed at or near the end of the luggage
to trolley comprises or includes a gea
luggage for containing or including
the handle being movable between
sing, in which position the or each to
the housing, and an extended positio.
em of luggage via the wheel means.

Preferably, the l
lid which is sprin
member and the
visible when the
permanently expr
formed with reces
it does not protruct
housing also include
move it from its sto.

ed position so that when the or each telestored positions within the housing, they alternative embodiment, the handle manuface of the housing which may preferai the handle to be stored in a contiguous manuface. Preferably, with such an arrange essess to permit the user of the handle to go its working position. Conveniently, the handle

inge means so that it may be hinger along the or each telescopable men sing and then pulled

e housing is to be used with hard-sic side thereof, or alternatively affixed priately shaped aperture permitting to to be moved from stored to open pos

veniently be affixed the luggage adjacent ch telescopable

ie item of luggage is of the soft-sided to a rigid support member secured to a ling and the to provide rigidity. It will be protects the or each telescopable mapport member will not affect the operation.

preferably be

f the luggage between

ecause the housing

ge caused to such a

ention will now be described, by way o.

canying drawings in which:

1 reference to the

Figure 1 is an upper perspective view fittrolley in a first embodiment

ac side of a luggage

Figu

:w corresponding to that of Figure

gener

andle means and how it is attached

the it.

Figure

of the upper end of the luggage trol

in whi

collapsed inwardly,

**Figure** 

at of Figure 1 but showing a second

of the i

Figure 5

ing to that of Figure 4 but in which the

shown is

Figure 6

iment of the invention,

Figure 7 i

of the luggage trolley of Figure 6 show

bandle me

and fully extended position,

Figure 8 is.

fourth embodiment of the invention,

Figure 9 is a pe

w of the luggage trolley of Figure 8 showing the

collapsible hanc.

ared position relative to the housing,

ure 10 shows a perspective view o

the invention,

ure 11 is a part perspective detail v

ousing means of

ure 10,

ture 12 is a part perspective detail sh

3 of the luggage of

ure 10, and

sure 13 is a part cut-out perspective v

ment of the

ention.

w to Figures 1 - 3, a first embodiment

hown in which the

: luggage trolley comprises a generally

oft-sided (i.e. non-

age (1) having at its lower end a pair o

, (3) and at its upper

apsible handle (4) secured to a pair of a

rs (5), (6) each in the

ree concentric tubes having cooperable

1) by which the

(5), (6) are limited as to the extert of the

it in the manner as

the drawings.

entional handle (7) is secured to the upper surface.

em of luggage (1) so

may be carried rather than rolled by the wheels (2), (3

oreferred

The collapsible is generally rigid hinged to the side normally remain

embers (5), (6) are each receivable.

i) which includes an openable upparent to the handle (7) and spring-brown in Figure 3.

The tubular memb
shown in Figures
upper surface of ti
and tubular memb
that the lid (9) is al
opposite upper sur
unlocks the spring i
to automatically mo
position shown in Fi

sed by means of a locking button (1 ion of which permits the collapsible ed downwardly into the housing (8) position as shown in Figure 3. Similar button (11), depression apsible handle (4) and tubular members spect to the item of luggage (1) to assu

from rocking relative:

item of luggage (1). This is achieved with

of spring steel bands (2)

item of luggage (1). This is achieved with

15) which are secured to the inner surface of the housing (8) and with respect to each other

means of rivets (16), (17), (18).

Only some of the steel bands and rivets are shown

for clarity but corresponding fee

trolley to that shown in section.

the opposite side

re be understood that the structure

the rigid plastics housing (8) is sui

i tubular members (5), (6) to be pre

of the item of luggage (1). Since, wh

ted by means of the rigid plastics ho

of the item of luggage (1), such as t

pility of the luggage trolley to operate

ls (12),(13),

permit the

ative to the

ar members (5),

aused through

), will not affect

d of it.

to Figures 4 and 5 there is shown an a

to 3 in which in this instance the item

andle means corresponding to that show

able member (20) is provided in which

attened concentric tubes, on the major su-

as (21) which improve the strength of the te

ent the collapsible handle (22) is in the shape

s which incorporates in the central portion there

fing

at of that shown

(1) has

out in which only

ments are

tich are

per (20). In this

ontally disposed

space for the

f one hand of the user of the luggage to be inseried incorporates on the

upper portion thereof a rubber grip (23) having indentations comesponding to those of a

hand. Apart from these differences the collapsible handle means operates in the same

handle (22) and tele assume the position shown in Fig.
button (11) permitt of the reverse sequence whereby

(22) and telescopab me a position inside the housing a.

(9) assume its close igure 5.

In Figure 5 there is a)

invention in which a

: bottom rail (24) is secured to tele

members (25), (26) e:

circular concentric tubes and for accentrate there is a central point of generally for tubes.

Between the button (11)

is a cable (not shown) which provides
the bottom rail (23) may

its stored to the open position shown is

Figure 5 when button (11)

Turning now to Figures 6 are a further alternative embodiment of the invention in which in this instant.

apsible handle means is secured to the outside of the item of soft-sided luggage of the item of soft-sided luggage (1) in similar manner to that shown in Figure 2. In this embodiment the plastic housing (29) has a generally U-shaped appearance with each arm of the "U" being used to store telescopable members (30), (31), shown in Figure 7. At

ids of each of the tubular membe n each of which is swivellably se ige pins (35) (only one of which i eceiving the handle (34) in a store may be easily locked in place by le is provided to allow the handle (3) sown in Figure 7 once the telescopal

e hinge joints (32), e (34) by means of 29) includes a igure 6, in which hown). A release and assume the , are fully extended.

rw to Figures 8 and 9 there is shown ion specifically adapted for hard-side ared from rigid plastics. In this embo s a housing (36) generally similar to  $\mathfrak V$ correspondingly shaped aperture in the (1). A swivellable handle (37) is again p nembers of (38), (39) and arranged such : e 9 the handle (37) assumes a contiguous p-(36). On the inside of the luggage (1) the p is a smooth plastics cover which protects the tubia e which may otherwise occur when loading or unload a litems from the luggage

ve embodiment of generally e handle means 6 and 7 but secured alf of the item of pective ends of tored position shown to the rest of the sing (36) not shown bers (38), (39) from

Because, in this embodiment, the item of luggage (1) is made of a hard-sided plastics material its inherent rigidity is sufficient to obviate the need to secure the housing (36) to other reinforcing means such as steel bands etc. This is particularly advantageou. 5 therefore essentially independe

and the rest o : it is in modular form, damage to

the luggage (1 bility.

In Figures 10: adiment of the invention is shown

suitcase (1) is stics housing (40), again incorpoi

handle means (4" sed into its open position as shown:

when not in us: position shown in Figure 10 by me

releasable catcl witch (42) which, when pressed, at

releases the han wn in figure (11). On the upper surfa

closed position it to press the button (43) and push dow

(41) into its closed locked in place.

A further feature of m in Figures 10 - 12 is a pair of elongate

plastics wheel housing the lower end of the suitcase which provide the

functions, the first being protection for respective wheels (46), (47). The

second function serves to pre . . . e side of the suitcase (1) shown from damage when :

is being rolled up or down stairs unce the wheel housings (44), (45) are sufficiently long

enough to ensure that when the suitcase (1) is tilted they protect it from shock or

abrasion by contact with the leading edges of the stairs.

r feature of the embodiment shown in the rivers to the outer side panels of which the suitcase (1) may be carrivarticles may be attached.

continuous strap
ating handles and
trations or to

there is shown a further alternative ention but in larity the suitcase is not shown. In the ective plastics ) for a handle (50) supported on tele 52) includes at the hereof an elongated plastics support le rigidity to the on rigid structure of the suitcase. The d support member nto a correspondingly shaped aperture aped transverse ut (54) on each end of which are respa nousings (55), (56) ng respective wheels (57), only one of v. ill be seen in this ent that the structure defined by the eleme espond to a anal two-wheeled trolley even though it is it structure defined by wise conventional item of luggage. A bottom reso provided which is to the underside of the item of luggage and may of ped outwardly so that ial items of luggage may be carried as required thereon.

The invention in its several embodiments thus provides an elegant and simple solution to problems associated with damage to collapsible tubular members which are necessary in order to make it easy for the user to tow items of luggage via wheels. In particular, the use of a modular housing which may be fixed inside or outside the item of luggage

provides tha

ed to fit luggage of all shapes and

consequent y

mufacturing costs, whilst at the sa

permitting the

ous component parts and facilitativ

in the event?

A luggage trolley adapted to sally "L"-shaped strut on each end a rigid support member secured to inal axis thereof, and a generally rigid from the strut containing or including, the handle being moveable between, in which position the or each telescopassing, and an extended position in which luggage via the wheel means.

20

3.

wheel housings and transversely to the the support member ast one telescopable le or adjacent to the antially protected by used for towing the

A luggage trolley comprising an wheel means disposed e side and at one end of the luggage and adap e luggage to be towed in tilted, and a collapsible handle secured to a lescopable member and this posed at or near the end of the luggage remoner om the wheel means, that the trolley comprises or more a generally rigid housing adapted to be secured to the luggage for containing or including the handle and the at least one telescopable member, the handle being moveable between a stored position inside or adjacent to the housing, in which position the or each telescopable member is substantially protected by the housing, and an extended position in which the handle may be used for towing the item of luggage via the wheel means.

A luggage trolley according to Claim 1 or Claim 2 further characterised in

that the able upper lid which is spring bia positions lescopable member and the handle a positions re not visible when the lid is closed

5 4. cording to Claim 2 or Claim 3 furting that the . h the outer surface of the housing.

5. according to Claim 4 in which the 1. recesses to 1. dle to grasp it and move it from its sto.

6. seconding to any preceding claim in whice includes hinge and upwards along the second of the seco

15

10

its working

- A hugginge tree by according to any preceding claim in which the housing is connected to the wheel means by a rigid support member to provide rigidity for the item of huggage.
- 20 8. A luggage trolley according to Claim 1 in which the support member comprises a substantially rigid plastics tube.
  - 9. A luggage trolley substantially as hereinbefore described with reference to and as shown in the accompanying drawings.



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1 to 9

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## s considered to be relevant:

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	S 5482147	(WANG) (See par	•)	1,2,4, 5 & 7
	JS 5452778	(WANG) (See partici	3 & 8)	1,2 & 7
	US 5374073	(HUNG-HSIN) (See parts	;s 1 to 3)	1,2 & 7
	US 5295565	(LATSHAW) (See partic)	カ	1,2 & 7
X	US 5291976	(KU) (See particularly Figs 1 &	k 5)	1,2 & 7
Ж	US 4618035	(MAO) (See particularly Figs 1	1,2 & 10)	1,2 & 7

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# **Schedule**

June 2004 July 2004 August 2004 TCs 1600, 1700, 2800 and 2900 TCs 3600 and 3700

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# Description of Pilot Project to Provide Electronic Access to Cited U.S. Patent References

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Steps to Use the New E-Patent Reference Feature During the Pilot Project and Thereafter

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The E-Patent Reference feature will be accessed using a new button on the private PAIR screen. Ordinarily all of the cited U.S. patent and U.S. patent application publication references will be available over the Internet using the Office's new E-Patent Reference feature. The size of the references to be downloaded will be displayed by E-Patent Reference so the download time can be estimated. Applicants and registered practitioners can select to download all of the references or any combination of cited references. Selected references will be downloaded as complete documents as Adobe Portable Document Format (.pdf) files. For a limited period of time, the USPTO will include a copy of this notice with Office actions to encourage applicants to use this new feature and, if needed, to take the steps outlined above in order to be able to utilize this new feature during the pilot and thereafter.

During the two-month pilot, the Office will evaluate the stability and capacity of the E-Patent Reference feature to reliably provide electronic access to cited U.S. patent and U.S. patent application publication references. While copies of U.S. patent and U.S. patent application publication references cited by examiners will continue to be mailed with Office actions during the pilot project, applicants are encouraged to use the private PAIR and the E-Patent Reference feature to electronically access and download cited U.S. patent and U.S. patent application publication references so the Office will be able to objectively evaluate its performance. The public is encouraged to submit comments to the Office on the usability and performance of the E-Patent Reference feature during the pilot. Further, during the pilot period registered practitioners, and applicants not represented by a practitioner, are encouraged to experiment with the feature, develop a proficiency in using the feature, and establish new internal processes for using the new access to the cited U.S. patents and U.S. patent application publications to prepare for the anticipated cessation of the current Office practice of supplying copies of such cited

references. The Office plans to continue to provide access to the E-Patent Reference feature during its evaluation of the pilot.

#### Comments

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Comments with respect to specific applications should be sent to the Technology Centers' customer service centers. Comments concerning digital certificates, customer numbers, and associating customer numbers with applications should be sent to the Electronic Business Center (EBC) at the USPTO by facsimile at (703) 308-2840 or by e-mail at EBC@uspto.gov.

### Implémentation after Pilot

After the pilot, its evaluation, and publication of a subsequent notice as indicated above, the Office expects to implement its plan to cease mailing paper copies of U.S. patent references cited during examination of non provisional applications on or after February 2, 2004; although copies of cited foreign patent documents, as well as non-patent literature, will still be mailed to the applicant until such time as substantially all applications have been scanned into IFW.

#### For Further Information Contact

Technical information on the operation of the IFW system can be found on the USPTO website at http://www.uspto.gov/web/patents/ifw/index.html. Comments concerning the E-Patent Reference feature and questions concerning the operation of the PAIR system should be directed to the EBC at the USPTO at (866) 217-9197. The EBC may also be contacted by facsimile at (703) 308-2840 or by e-mail at EBC@uspto.gov.

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